

Audit and Governance Committee

Dorset County Council



Date of Meeting	11 March 2019
Officers	<u>Lead Cabinet Member</u> Rebecca Knox – Leader <u>Local Members</u> All Members <u>Lead Director</u> Mike Harries, Chief Executive
Subject of Report	Progress on Matters Raised at Previous Meetings
Executive Summary	This report records outstanding actions identified from previous meetings and provides updates against those actions.
Impact Assessment:	Equalities Impact Assessment: N/A Use of Evidence: Information used to compile this report is drawn together from the Committee's recommendations made to the Cabinet and arising from matters raised at previous meetings. Evidence of other decisions made by the Cabinet which have differed from recommendations will also be included in the report.
	Budget: No VAT or other cost implications have been identified arising directly from this programme.
	Risk Assessment: Having considered the risks associated with this decision using the County Council's approved risk management methodology, the level of risk has been identified as: Current Risk: LOW Residual Risk: LOW
	Outcomes:
	Other Implications: None

Progress on Matters Raised at Previous Meetings

Recommendation	That Members consider the matters set out in this report.
Reason for Recommendation	To support the Council's corporate aim to provide innovative and value for money services.
Appendices	Appendix 1 – Outstanding Actions Appendix 2 - Areas to be highlighted to the new Dorset Council for consideration in preparation of draft future forward work plans
Background Papers	None
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Progress on Matters Raised at Previous Meetings

Appendix 1

Date of Meeting	Note Number and subject reference	Action Required	Responsible Officer	Completed (including comments)
25 October 2018	55 - Report of Internal Audit Activity - Plan Progress 2018-19	1. That a report is provided by the Interim Director of Children's Services concerning the removal of audits in relation to Children's Social Care Caseload Management and Readiness for Ofsted Inspection in order that the Committee can decide whether to take the issues further; 2. That the above audits be referred to the Chairman of the Safeguarding Overview and Scrutiny Committee;	Nick Jarman Action dependent on the (1) above.	A report was provided to the Audit & Governance Committee meeting of 21.01.19 along with a verbal update from the Director of Children's Services. (see further action from 21.01.19 meeting below)
	56 - Financial Management Report	That an update on the progress made of the impact on the budget through the provision of in house foster carers is considered in January 2019.	Nick Jarman	A report entitled "Modernising Fostering" has been included for consideration as part of this agenda.
21 January 2019	9 - Report of Internal Audit Activity - Plan Progress 2018/19	That the Chairman, Vice-Chairman, Mark Taylor and Rupert Bamberger discuss how assurance could be sought in relation to the work undertaken and underway in Children's Services social care, that would contribute to, or even negate the need for, the completion of the remaining SWAP Children's Services audits scheduled in 2018-19.		The Chairman requested a range of information and assurances from the Director of Children's Services on the 04.02.19 in relation to the Children's Services internal audits that had not progressed. The Director of Children's Services is due to provide a verbal update to the Audit & Governance Committee on the 11.03.19 to provide answers to these questions/ requested assurances.

Areas to be highlighted to the new Dorset Council for consideration in preparation of draft forward work plans:-

- Children's Services Demand – Budget Pressures
- Children's Services SEND Inquiry Day – Follow-up / Review of progress on recommended actions
- Review of Recruitment of Independent Foster Carers
- Social Work Caseloads & Practice
- Disclosure & Barring Service – Period Assurance Review
- External Funding (*incl. Local Enterprise Partnerships (LEP) Funding Allocations*)
- Items of significance emerging from the Annual Governance Statement